



Operational Resilience

Managing, Protecting, and Sustaining Organizational Missions

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<http://www.cert.org/resilience/>

January 23, 2014



Report Documentation Page				Form Approved OMB No. 0704-0188	
Public reporting burden for the collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to a penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.					
1. REPORT DATE 23 JAN 2014		2. REPORT TYPE N/A		3. DATES COVERED	
4. TITLE AND SUBTITLE Operational Resilience: Managing, Protecting, and Sustaining Organizational Missions				5a. CONTRACT NUMBER	
				5b. GRANT NUMBER	
				5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S) Mehravari /Nader				5d. PROJECT NUMBER	
				5e. TASK NUMBER	
				5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Carnegie Mellon Software Engineering Institute, 4500 Fifth Ave, Pittsburgh, PA 15213				8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)				10. SPONSOR/MONITOR'S ACRONYM(S)	
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release, distribution unlimited.					
13. SUPPLEMENTARY NOTES					
14. ABSTRACT					
15. SUBJECT TERMS					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT SAR	18. NUMBER OF PAGES 72	19a. NAME OF RESPONSIBLE PERSON
a. REPORT unclassified	b. ABSTRACT unclassified	c. THIS PAGE unclassified			

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This material is based upon work funded and supported by the Department of Defense under Contract No. FA8721-05-C-0003 with Carnegie Mellon University for the operation of the Software Engineering Institute, a federally funded research and development center.

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Contents

Organizational Mission

- Setting the Stage

Operational Stress

- Disruptive Events

Yesterday vs. Today

- Expansion of Risk Environment

Operational Resilience

- Operational Risk & Mission Success

Organizational Mission – Revisited

- Approach for Resilience Management, Protection, and Sustainment

Success Stories

- A Sampling of Real-Life Applications

Closing



Organizational Mission



**American
Red Cross**

“The American Red Cross prevents and alleviates human suffering in the face of emergencies by mobilizing the power of volunteers and the generosity of donors.”

Disaster Relief

Safe and
Adequate Blood
Supply

Health and
Safety
Education





***UNITED STATES
POSTAL SERVICE***

“To provide postal services to bind the Nation together ...
To provide prompt, reliable, and efficient services to
patrons in all areas and ... render postal services to all
communities.”

Delivering
Mail

Selling
Stamps

Ensuring
Mail Safety

Operating a
37,000-node
intranet





“Provides, operates, and assures command and control, information sharing capabilities, and a globally accessible enterprise information infrastructure in direct support to joint Warfighters, National level leaders, and other mission and coalition partners across the full spectrum of operations.”

DISN,
NIPRNET,
SIPRNET...

Enterprise
Email
Services

Spectrum

Tactical
InfoSec
Services





Contributing positively to the earth's natural ecosystem.

Shade

Habitat for
Birds

Climbing
Opportunity

Beauty





Operational Stress

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TECHNOLOGY | February 4, 2012

Micron Chief Dies in Crash

Steve Appleton Loved Fast Jets, Cars; 'I'd Rather Die Living Than Die Dying'

Article

Stock Quotes

Comments (122)

By SHARA TIBKEN and DON CLARK

A

A

Steven R. Appleton, chairman and chief executive of [Micron Technology Inc.](#) MU 0.00% and one of the most prominent figures in the semiconductor industry, died Friday when the high-performance airplane he was piloting crashed at Boise, Idaho's airport.

The death of the 51-year-old stunned Micron, the well-known maker of memory chips based in the same city, and comes at a time of rapid change for the company and its industry.



The National Transportation Safety Board is investigating the accident, which happened soon after Mr. Appleton took off alone in a single-engine Lancair. The plane, from a maker of aircraft kits, had taken off and landed once and was



MICRON TECHNOLOGY, INC.

BUSINESS | Updated April 16, 2012, 8:21 p.m. ET

Tornadoes Hamper Boeing Supplier

Spirit Says Output Suspended 'At Least' Through Tuesday, Deliveries Could Resume by End of Week

Article

Stock Quotes

Comments

By JON OSTROWER

WICHITA, Kan.—A key [Boeing Co.](#) [BA +2.51%](#) supplier said it aims to resume deliveries by the end of the week after tornadoes battered its factories here, highlighting the fragility and resilience of the aerospace giant's global supply chain as it works to sharply increase production.

The storms late Saturday caused significant-to-major damage to 10 buildings at the flagship campus of Spirit AeroSystems Inc., which makes fuselages and other parts for Boeing's hot-selling 737, 777 and 787 Dreamliner passenger jets. Spirit said production—which normally runs seven days a week—would be suspended "at least" through Tuesday, and that it expects "near-term production disruptions including delivery impacts" to customers.



Spirit spokesman Ken Evans said initial damage assessments found most of its machinery and inventory intact. "We believe we can use the facilities we've got," he said in an interview here in Wichita, a major manufacturing hub for the aerospace industry. "We don't



AUTOS | Updated April 17, 2012, 8:36 p.m. ET

Nylon-12 Haunts Car Makers

Explosion at Big Supplier of Resin for Automotive Parts Has Induced Shortages

Article

Stock Quotes

Comments (9)

By JEFF BENNETT And JAN HROMADKO

Production shortfalls at a single German auto-parts supplier are beginning to ripple through the global auto business.

More than 200 auto executives met in a Detroit suburb on Tuesday to evaluate a looming shortage of a relatively obscure resin essential to modern auto production.

Inventories of the resin are being depleted at Evonik Industries AG plant in Marl, Germany, that markets itself as the only integrated maker of the resin for auto lines.



Chemical plant explosion brakes car makers

The explosion at a German chemicals plant two weeks ago which killed two workers, has thrown the global [car industry](#) into turmoil as manufacturers run short of a vital component, prompting an emergency meeting in Detroit.

test claims

WHAT 'OBSCURE' BUT ESSENTIAL COMPOUND SHORTAGE HAS THE AUTO INDUSTRY WORRIED ABOUT PRODUCTION?

production before the winter this year and expect that the works to fully repair the plant will take at least three months," an Evonik spokeswoman said. Several Evonik executives attended the meeting on Tuesday.

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BUSINESS | Updated July 31, 2012, 12:30 p.m. ET

India's Power Grid Collapses Again

Article

Slideshow

Stock Quotes

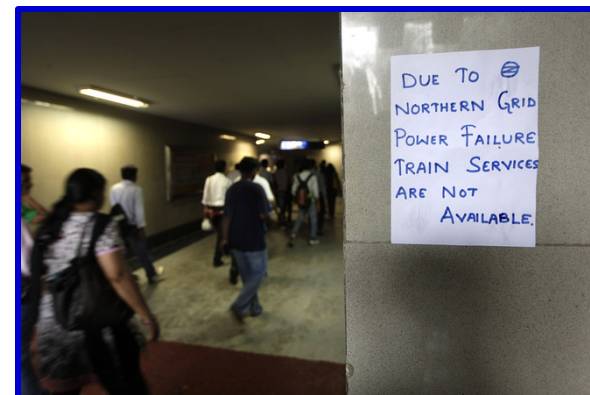
Comments (120)

By SAURABH CHATURVEDI And SANTANU CHOUDHURY

NEW DELHI—Much of India's electricity supply network collapsed Tuesday in the country's second major outage in two days, affecting more than 680 million people—double the population of the U.S.—and causing business losses estimated to run into the hundreds of millions of dollars.



Thousands of offices and factories had to switch to generators or shut shop, more than 200 trains were brought to a standstill while hospitals had to ask nurses to manually work critical equipment such as ventilators as 21 provinces experienced a near-total



India electricity grids fail leaves 620 million people without power

Computer Glitch Halts American Airlines Flights

The Federal Aviation Administration is holding all American Airlines flights at their origin airports until at least 5 p.m. Eastern time on Tuesday while the carrier tries to resolve a nationwide outage to its reservations system.

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Tuesday, April 16, 2013 As of 5:28 PM EDT

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BUSINESS |

Updated April 16, 2013, 5:28 p.m. ET

Outage Snarls American Air Flights

By JACK NICAS And SUSAN CAREY





SANDY SHUTS DOWN THE CITY

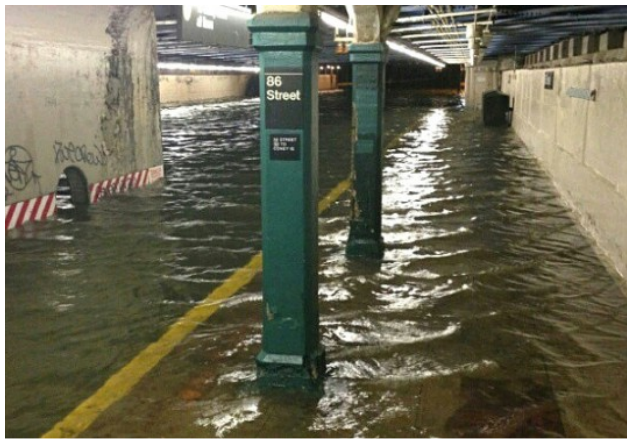
By JOHN ANNESE
and JILLIAN JORGENSEN
STATEN ISLAND ADVANCE

The city is in a virtual lockdown as a storm of unprecedented character slammed into the East Coast,

Tracking the storm

The worst of the powerful hurricane is expected Monday night into Tuesday

Hospital evacuated



Powerful Typhoon Haiyan Hits Philippines

By CRIS LARANO and JOSEPHINE CUNETA

Nov. 7, 2013 10:44 a.m. ET



THE WALL STREET JOURNAL. NEW YORK

April 19, 2013, 1:37 PM

Train Halt From Boston Stretches to Manhattan

Week of 4/22/13



Magazine | Video | LIFE | TIME 100

TIME
U.S.

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NATIONAL

The Marathon Bombing: Gunfights, Blasts and a Manhunt Shut Down Boston

By Jay Newton-Smith / Watertown | April 19, 2013 | 0

U.S. NEWS on  NBCNEWS.com

Updated
3
days
ago

Boston transit shut down, nearly 1 million sheltering in place amid terror hunt

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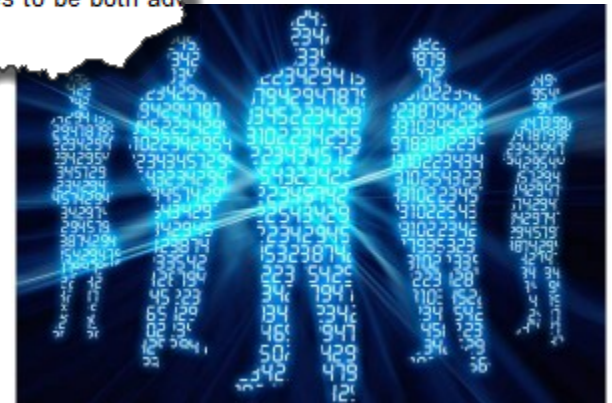
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Article [Talk](#)

Advanced persistent threat

From Wikipedia, the free encyclopedia

Advanced persistent threat (APT) usually refers to a group, such as a foreign intelligence gathering techniques to access sensitive information^[1], but also to other recognized attack vectors include infected media, supply chain compromise usually referred to as an APT as they rarely have the resources to be both advanced and persistent^[3]



Thursday, January 31, 2013 As of 8:28 PM EST

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Q Fact

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MEDIA & MARKETING | Updated January 31, 2013, 8:28 p.m. ET

Chinese Hackers Hit U.S. Media

Wall Street Journal, New York Times Are Breached in Campaign That Stretches Back Several Years

By [SIOBHAN GORMAN](#), [DEVLIN BARRETT](#) and [DANNY YADRON](#)

WASHINGTON—Chinese hackers believed to have government links have been conducting wide-ranging electronic surveillance of media companies including The Wall Street Journal, apparently to spy on reporters covering China and other issues, people familiar with the incidents said.

Journal publisher Dow Jones & Co. said Thursday that the paper's computer systems had been infiltrated by Chinese hackers, apparently to monitor its China coverage.

[New York Times](#) Co. NYSE:NYT +0.11% disclosed Wednesday that its newspaper also had been the victim of cyberespionage.

THE WALL STREET JOURNAL.
WSJ

Product

Politics

Crime

The New York Times

More companies reporting cybersecurity incidents

By Ellen Nakashima and Danielle Douglas, Published: March 1

At least 19 financial institutions have disclosed to investors in computers were targets of malicious cyberassaults last year, among corporations about the breadth of cybersecurity incidents in the sector.

In their annual financial reports, such as Bank of America, financial institutions, have reported cyber intrusions.

THE WALL STREET JOURNAL.

U.S. EDITION Saturday, March 16, 2013 As of 4:17 PM EDT New York 38° | 28°

Home World U.S. New York Business Tech Markets Market Data Opinion

DDoS Attacks on U.S. Banks: Worst Yet to Come?

February 19, 2013, 12:01am

Gartner.

WHY GARTNER | ANALYSTS | RESEARCH | EVENTS | CONSULTING | ABOUT

Are the ongoing DDoS attacks against U.S. banks just the calm before the storm?

by Avivah Litan | March 14, 2013 | 1 Comment

That's a viable hypothesis after hearing that the attackers only used one third of the bandwidth they had staged for their latest round of attacks against U.S. banks last Tuesday. Reportedly, on Tuesday the total size of the DDoS attack was 190 gigabits at one time, with the largest attack against a single bank at 110 gigabits.

Interestingly, the attackers could have easily done even more damage but they chose not to. 9200 bots were identified as attack-capable but the total number of bots actually involved in sending the DDoS traffic to the banks numbered only about 3200. The other 6000 bots sat there doing nothing.

against U.S. banks last fall. The intent of the attacks has been to simply cause



April 23, 2013

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TECHNOLOGY | April 23, 2013, 2:19 p.m. ET

False AP Twitter Message Sparks Stock-Market Selloff

By SHIRA OVIDE

The Associated Press said Tuesday its Twitter account was compromised, resulting in a false message on the service that explosions in the White House had injured President [Barack Obama](#). The message briefly sparked selloff on U.S. stock markets.

"The Twitter account has been hacked," the AP said in a statement Tuesday about an attack on the White House is false."

Other Twitter accounts associated with Associated Press were quick to correct the false Twitter message, which was posted just after 1 p.m. Eastern time. Afterward, the news organization's main Twitter account was suspended.

Zoom: 1d 5d 1m 3m 6m YTD 1y 5y 10y All

Apr 23, 2013 - Apr 23, 2013 +142.69 (0.98%)



Pacemaker hack can deliver deadly 830-volt jolt

Pacemakers and implantable cardioverter-defibrillators could be manipulated for an anonymous assassination

By Jeremy Kirk

October 17, 2012 — IDG News
a deadly, 830-volt shock from some
medical device companies.

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Health :: News :: June 25, 2013 :: 5 Comments :: [Email](#) :: [Print](#)

A New Cyber Concern: Hack Attacks on Medical Devices

THE WALL STREET JOURNAL.

U.S. EDITION ▾ Thursday, June 13, 2013 As of 7:33 PM EDT

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U.S. NEWS | June 13, 2013, 7:33 p.m. ET

Patients Put at Risk By Computer Viruses

By CHRISTOPHER WEAVER

The Food and Drug Administration is warning makers of heart monitors, mammogram machines and myriad other medical devices that their gear is at risk of



Challenges to Organizational Mission

Operational mission of organizations is under stress on a minute-by-minute basis.

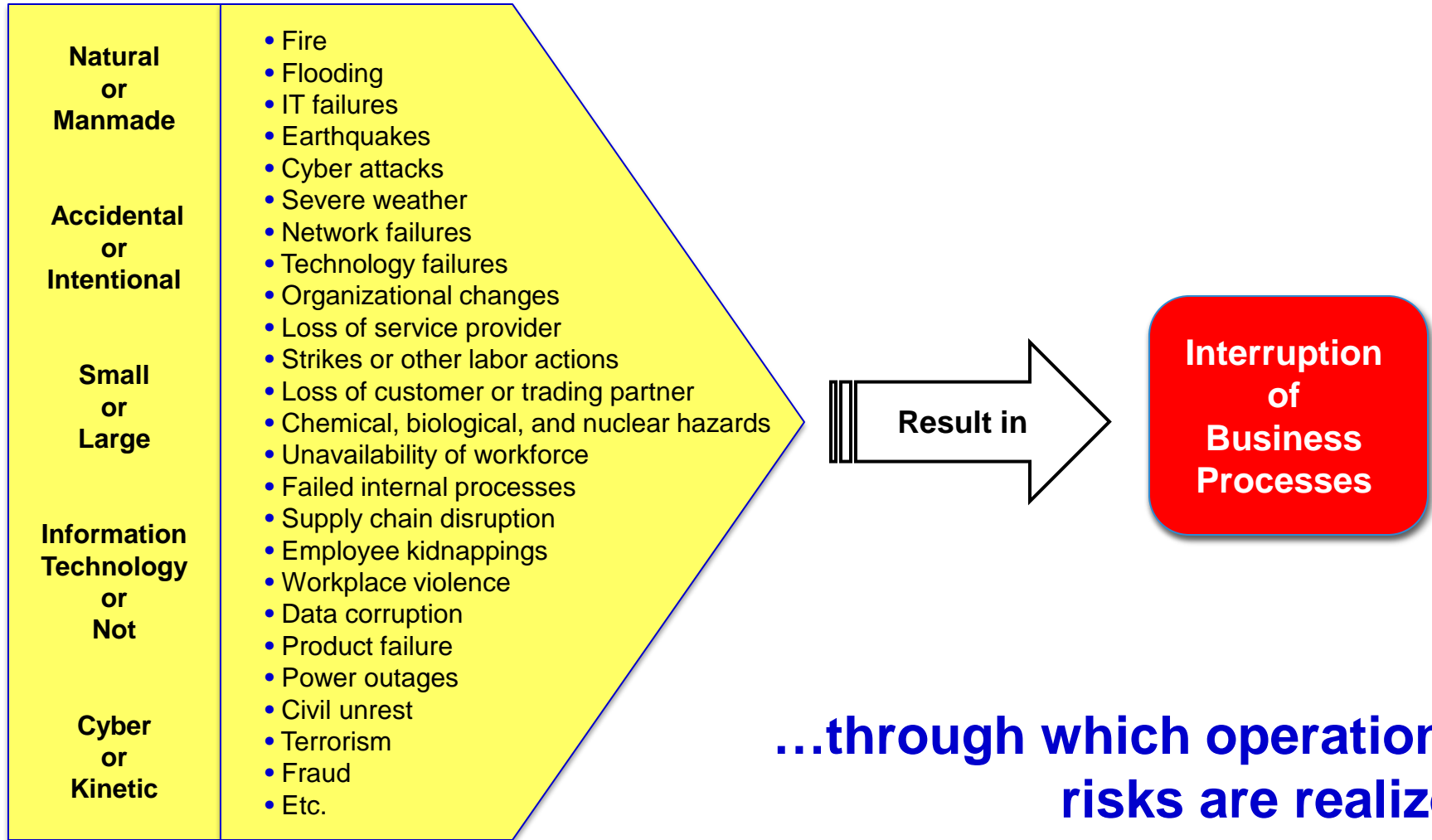
The stress comes from

- pervasive use of technology
- globalization
- complexity of business processes
- operational complexity
- movement toward intangible assets
- global economic pressures
- open borders
- geo-political pressures
- regulatory and legal boundaries
- intertwining of cyber and physical domains
- terrorists & professional hackers



...and is exasperated by increased intertwining of cyber and physical domains.

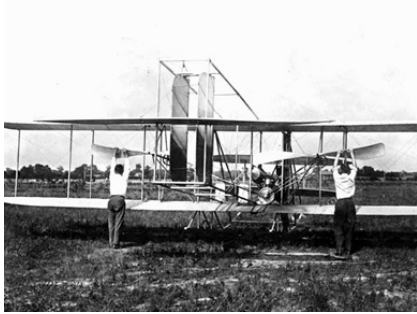
Disruptive Events



Yesterday vs. Today



Ever-Increasing Capability & Complexity



Biplane

0 SLOC



Apollo Lunar Module

2K SLOC



SR-71

500K SLOC

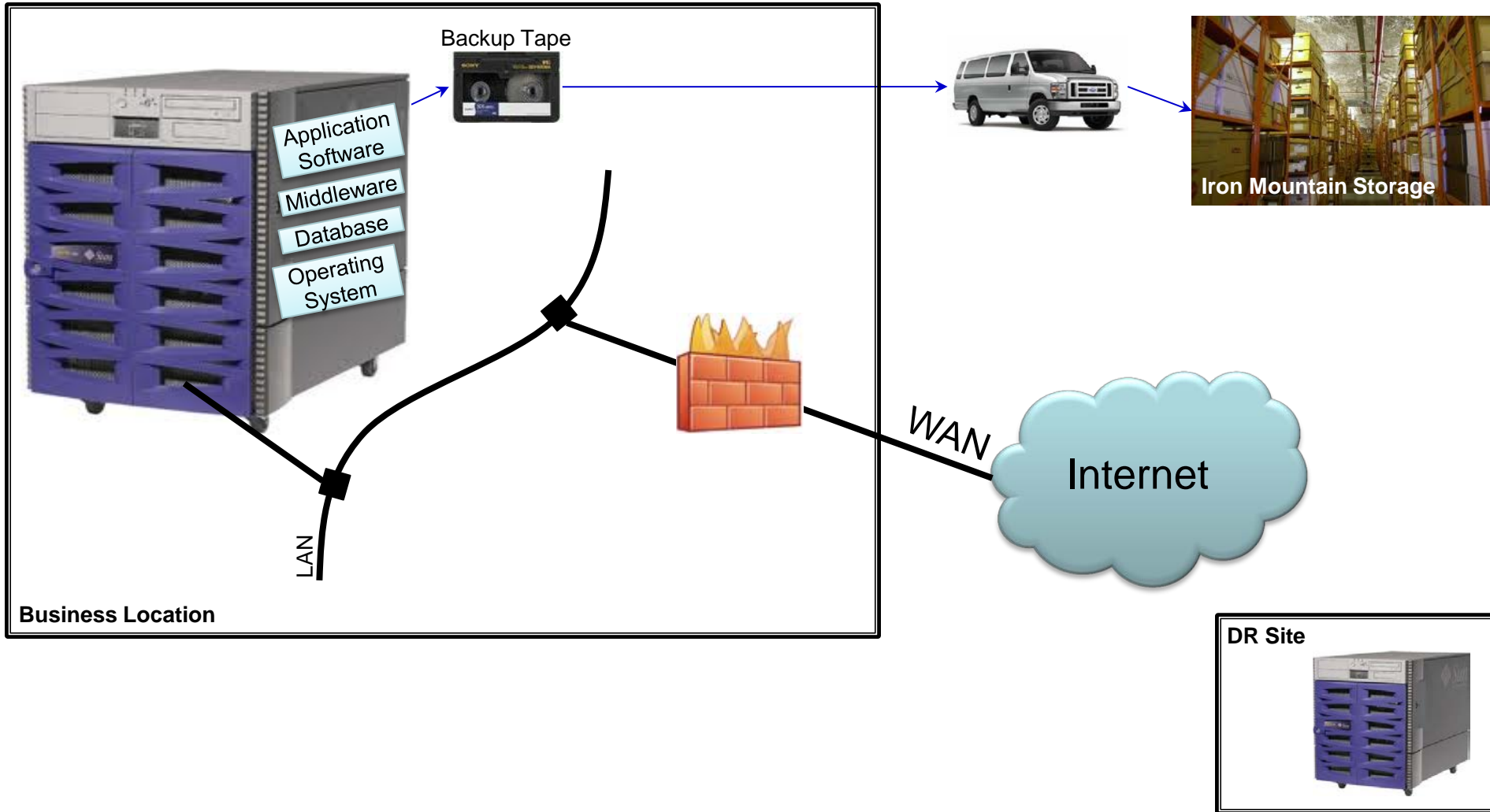


F-35

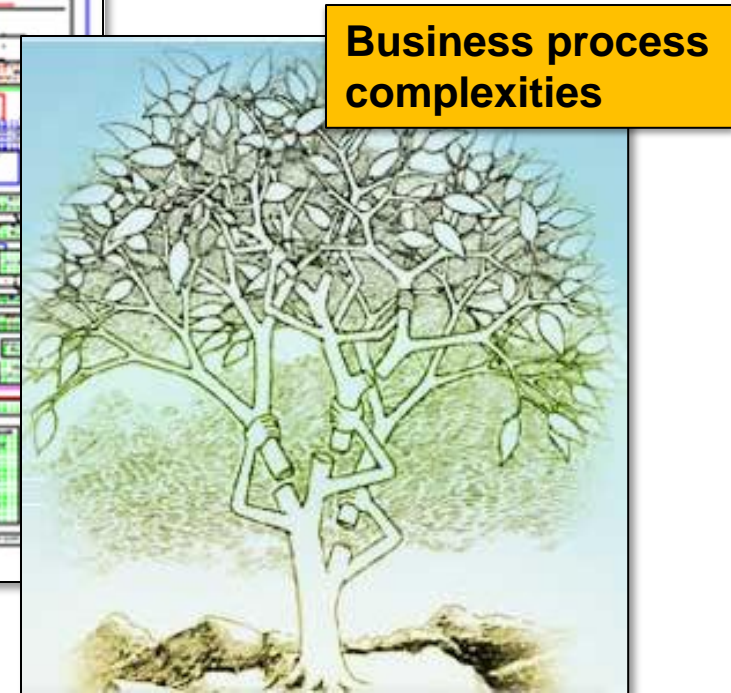
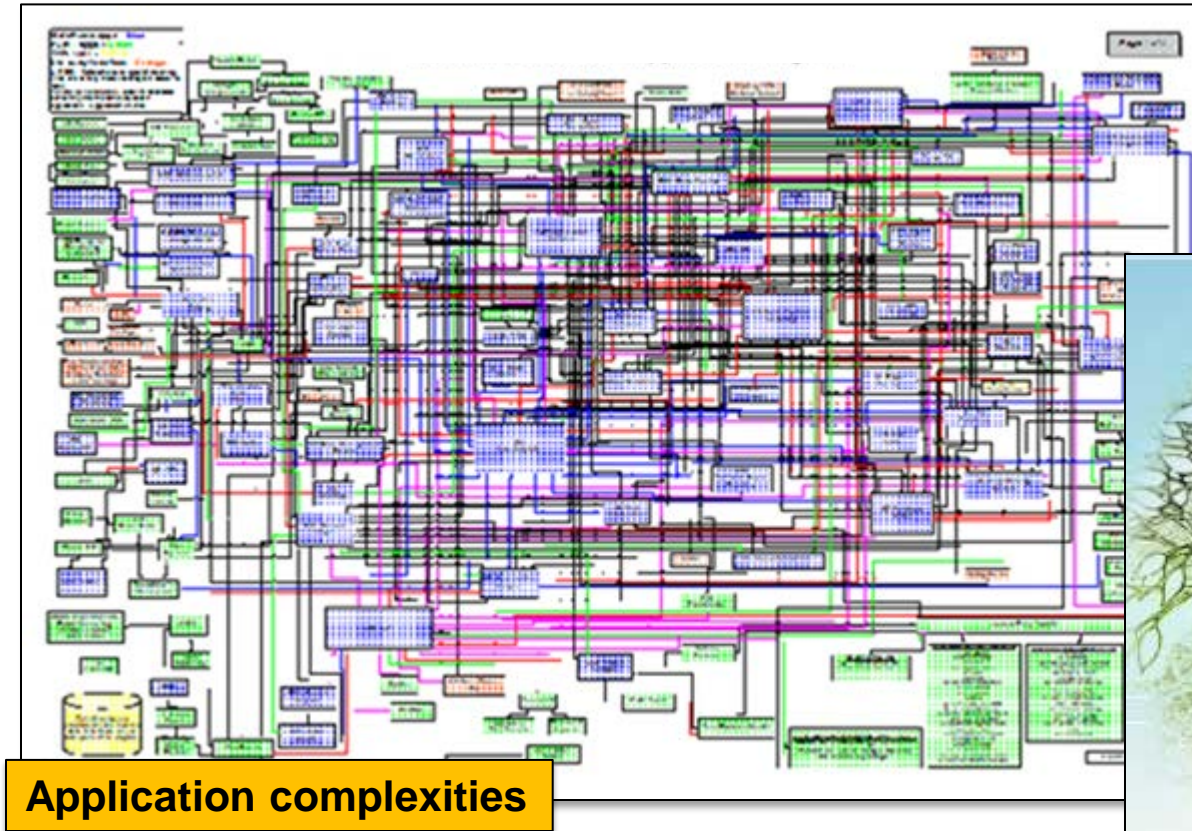
9.9M SLOC

SLOC = Source Lines of Code

Yesterday's mission success would have been...

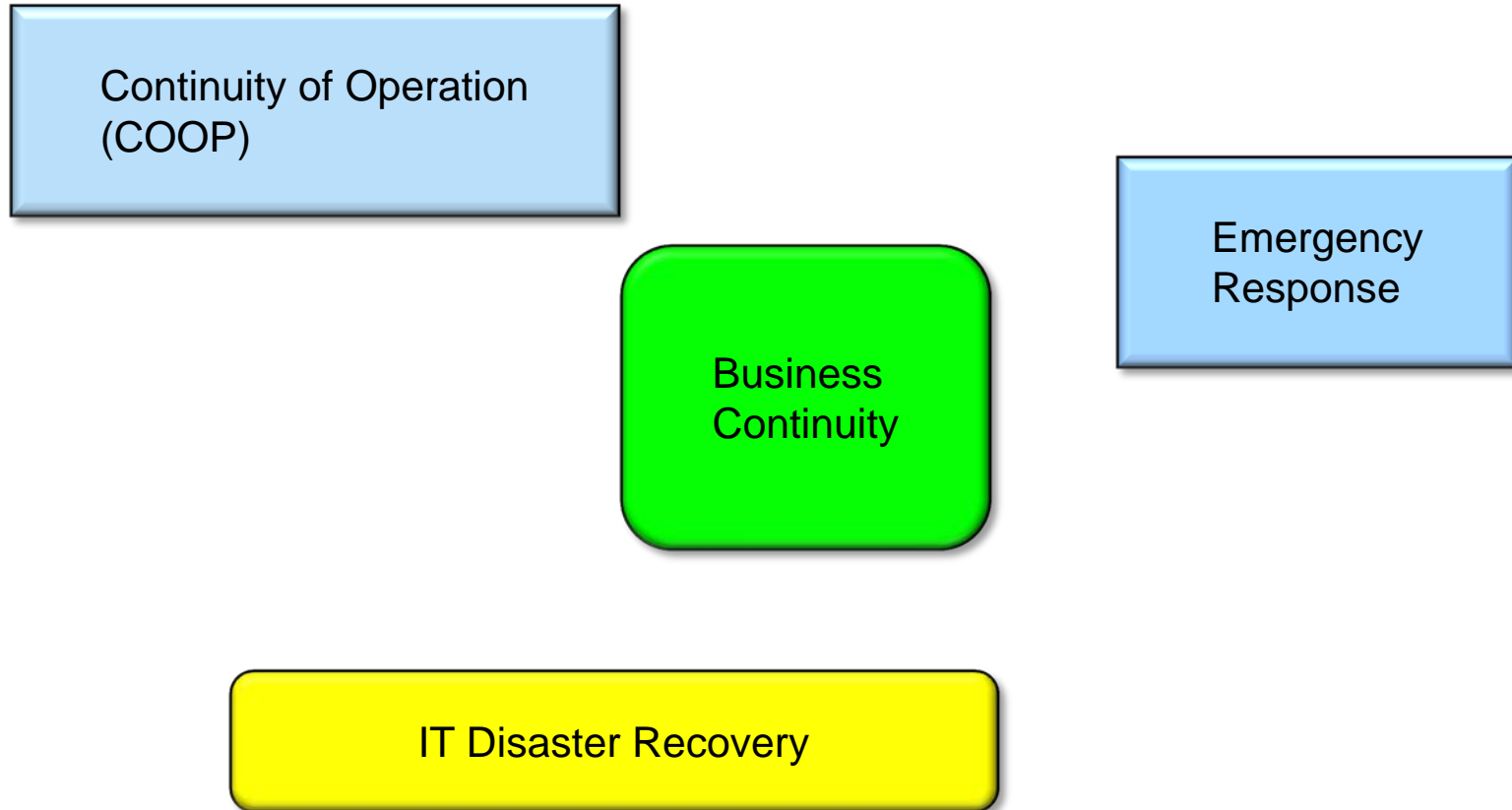


Today mission success is about ...



and more...

Yesterday's Mission Protection

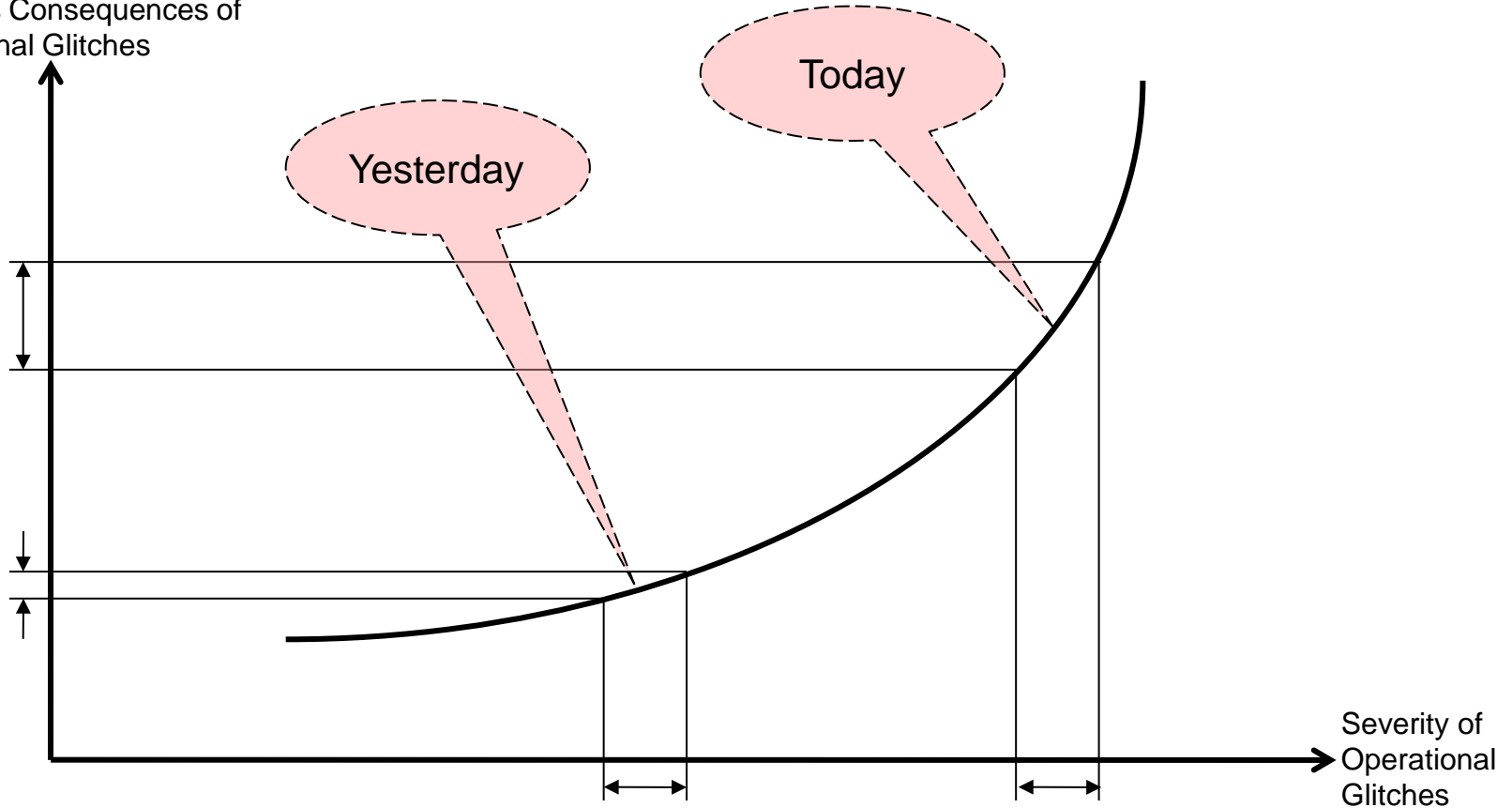


Today's Mission Protection



Today's Business Environment

Business Consequences of
Operational Glitches



Today's Business Environment Is Much Less Forgiving

Operational Resilience



Operational Risk

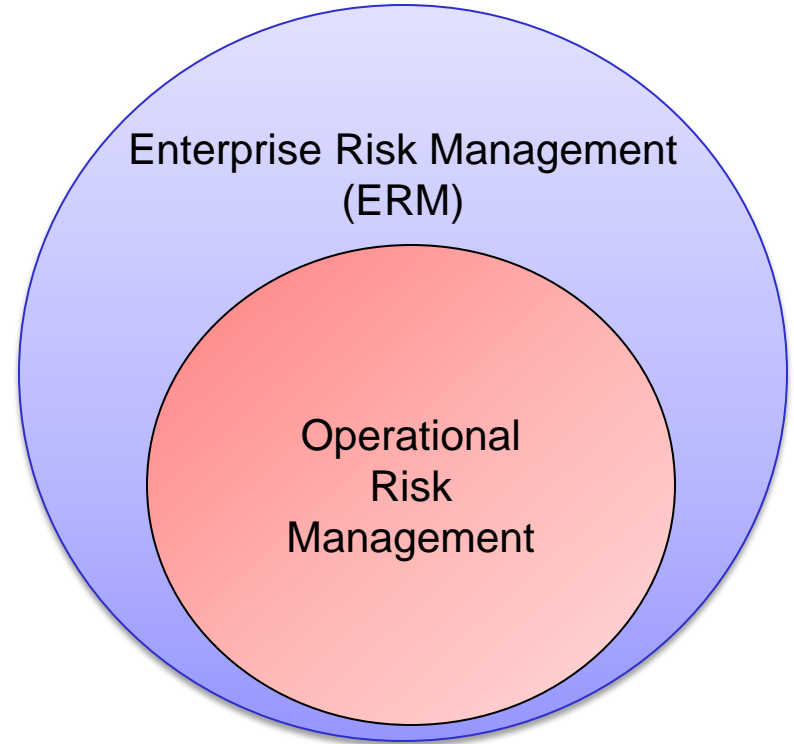
A form of risk affecting day-to-day business operations

A very broad risk category

- from high-frequency low-impact to low-frequency high-impact

Exacerbated by

- actions of people
- systems and technology failures
- failed internal processes
- external events
- bad decisions



Why do operational risks matter?

Trust and confidence of employees and customers

Reputation and image

Regulatory compliance, fines, and legal penalties

Customer retention and growth

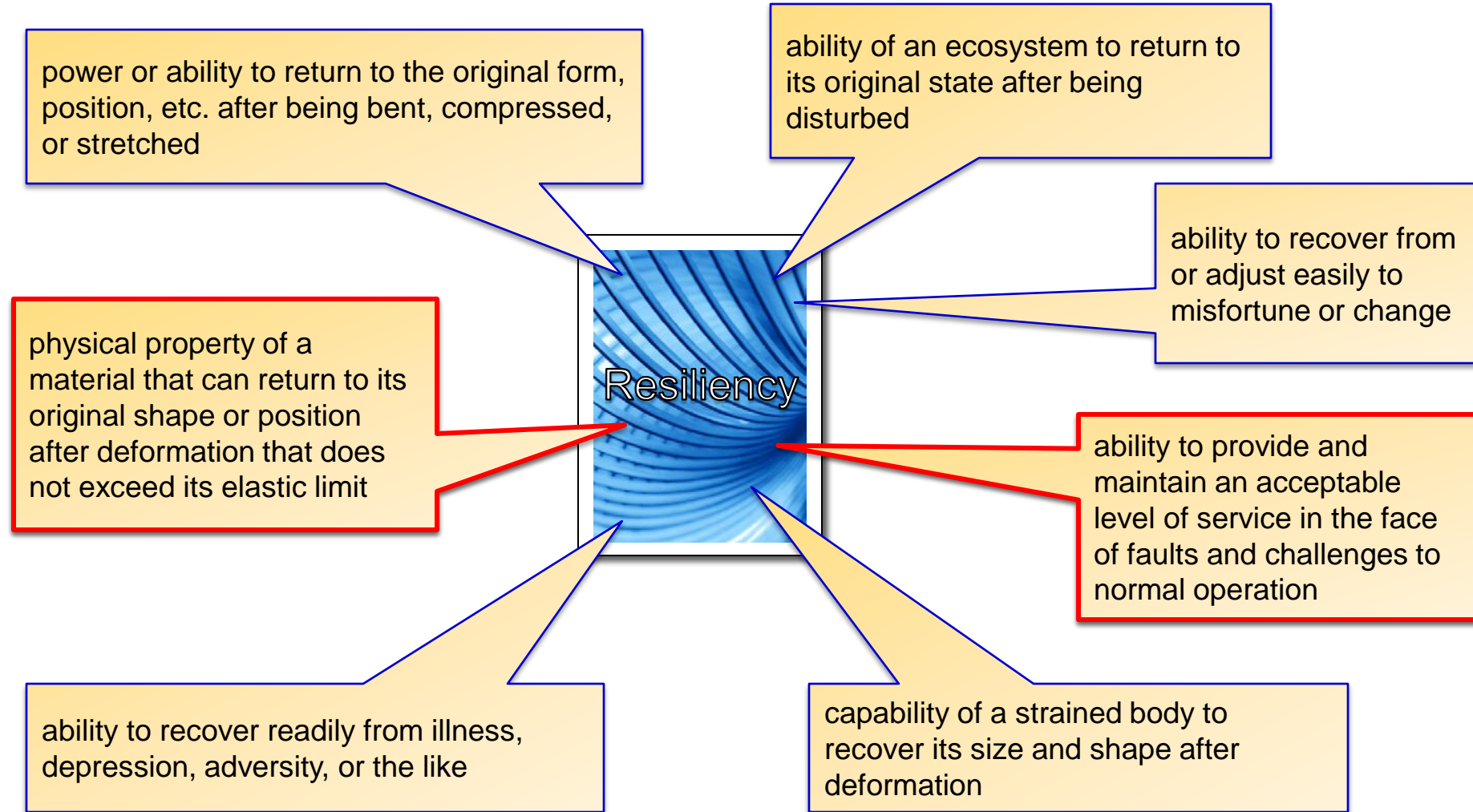
Life, safety, and health of customers and employees

Productivity and profitability

Organizational survival

... because they have explicit and direct IMPACT

re·sil·ience *noun* [ri-'zil-yəns]



Operational Resilience

The **emergent** property of an entity

- that can continue to carry out its mission in the presence of operational stress and disruption that does not exceed its limit
- to meet its mission under times of disruption or stress *and* return to normalcy when the disruption or stress is eliminated



Operational Resilience

The emergent property of an entity

- that can continue to carry out its mission in the presence of operational stress and disruption that does not exceed its limit
- to meet its mission under times of disruption or stress *and* return to normalcy when the disruption or stress is eliminated

- 
- Organization
 - Nation
 - Armed Forces
 - Critical Infrastructure
 - System
 - Network
 - Supply Chain
 - Community
 - An Ecosystem
 - Cyberspace

An Analogy: Health

Is there a place that you can purchase health?

Is there a place where health is manufactured?

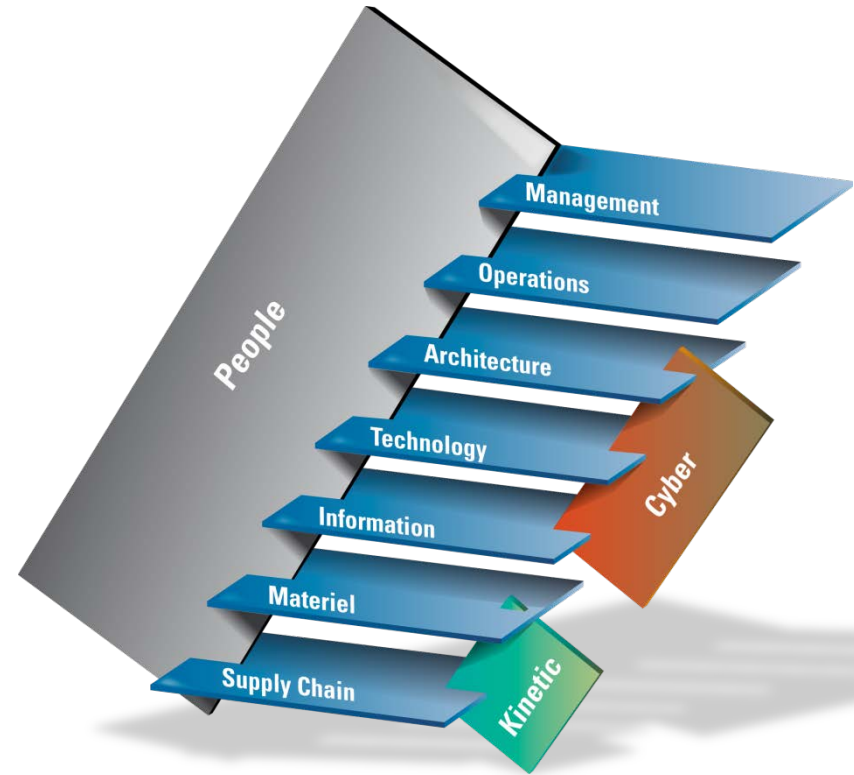
How do you become healthy?



Health & Resilience: They are both emergent properties.

Operational Resilience & Mission Success

To be operationally resilient, cyber- and/or kinetic-enabled missions must address operational risk on a number of “planes.”



Operational Efforts Must Consider and Enable Such Multidimensionality



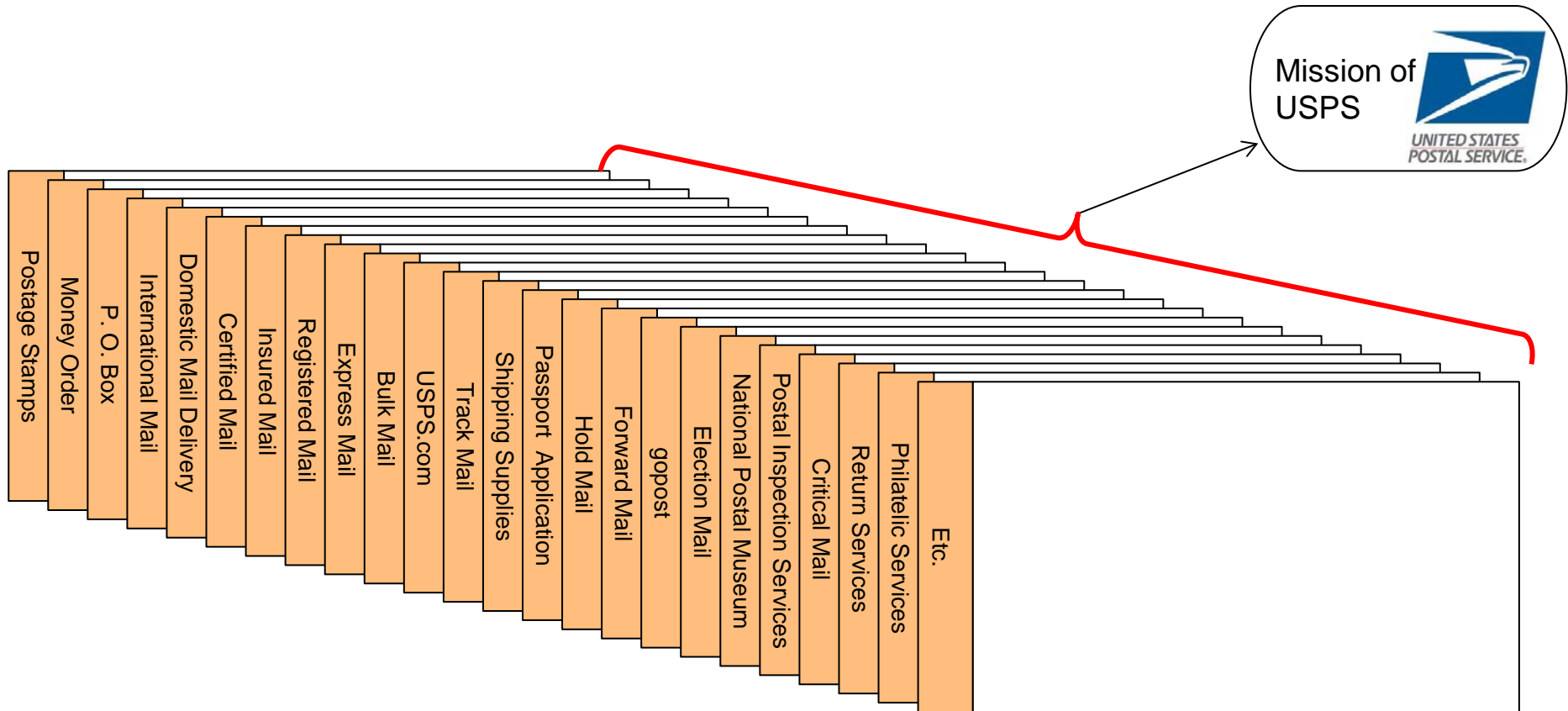
Organizational Mission - Revisited

Services and Products

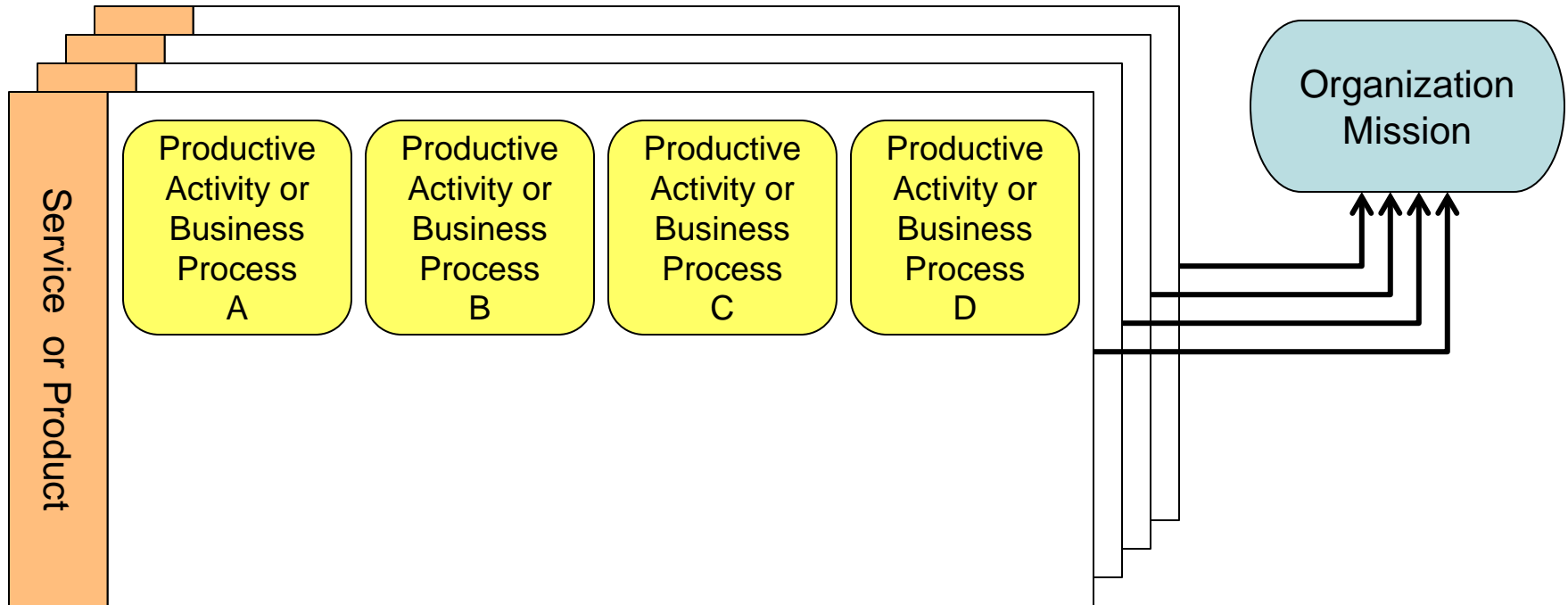


Outputs of an organization
can be internally or externally focused.
Collectively they enable an organization's mission.

Example: U.S. Postal Service



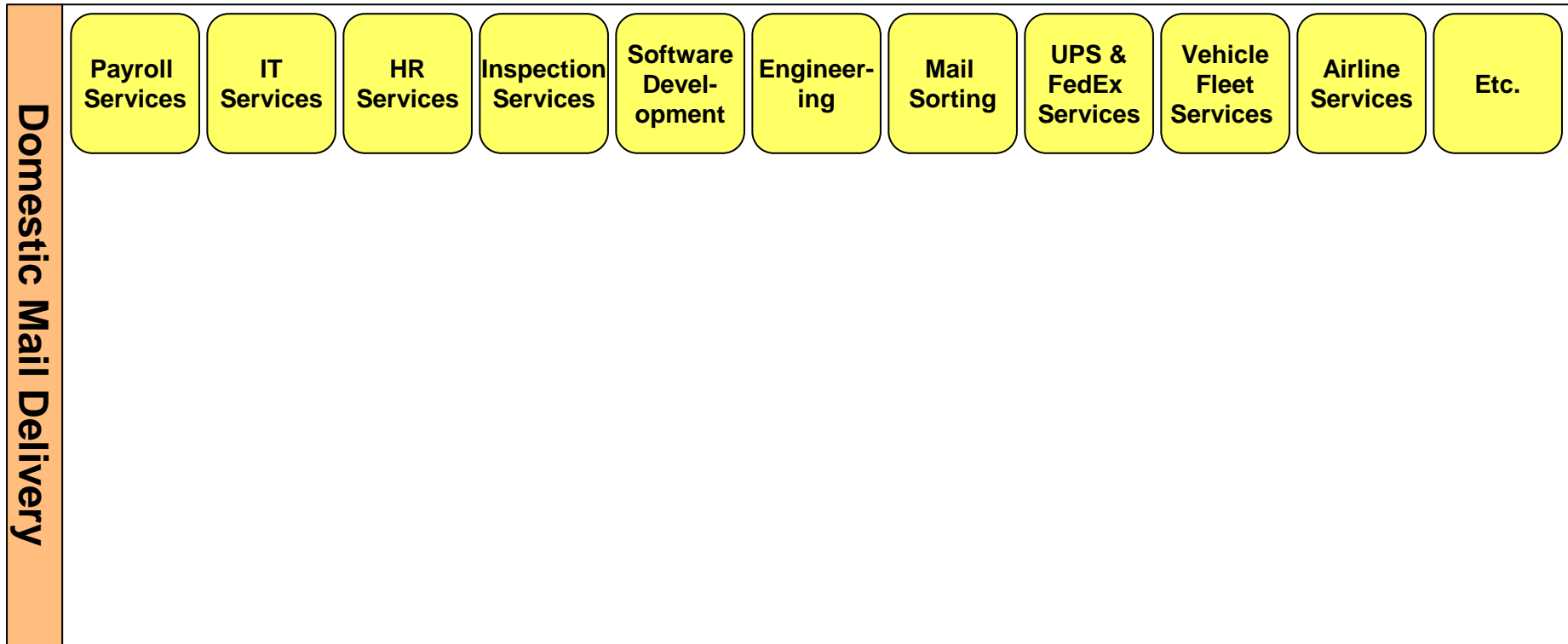
Productive Activities or Business Processes



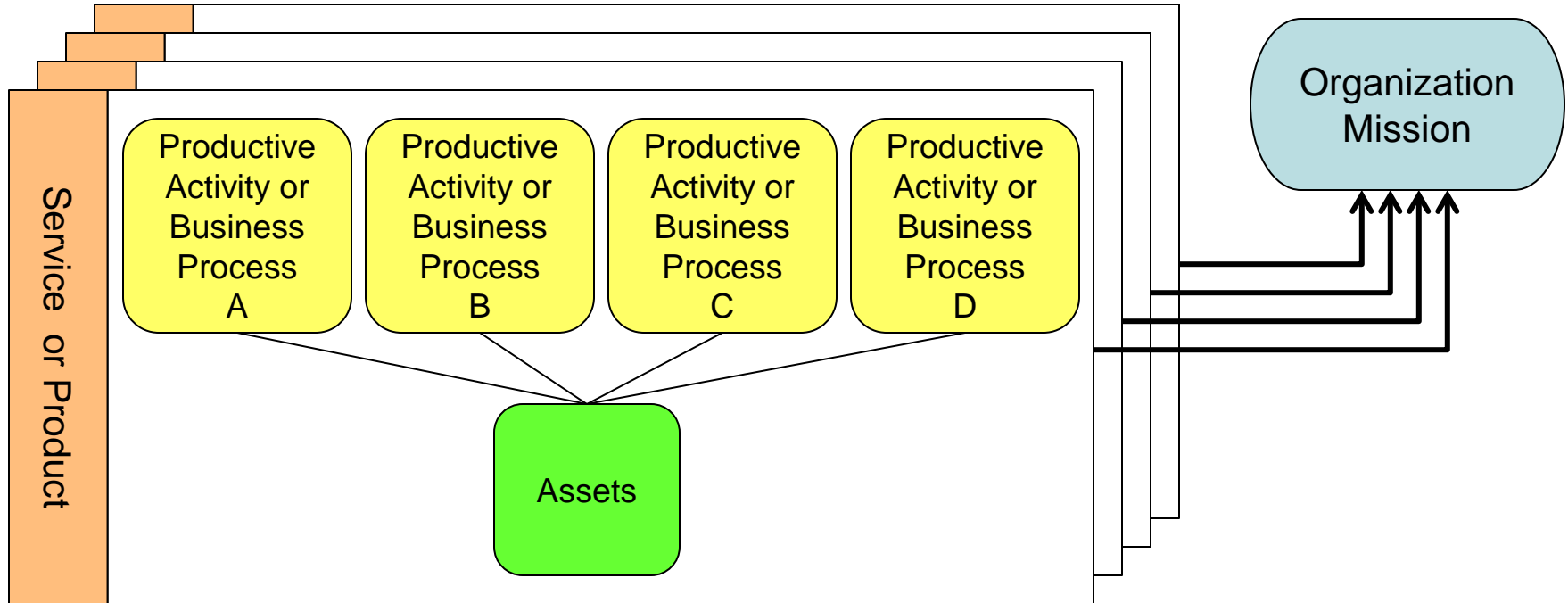
Activities that the organization (and/or its suppliers) perform to ensure that services and products are generated

A service or product is made up of one or more business processes.

Example: U.S. Postal Service



Assets



Something of value to the organization

Asset value relates to the importance of the asset in meeting the service mission.

Asset Types of Importance to Operational Resilience

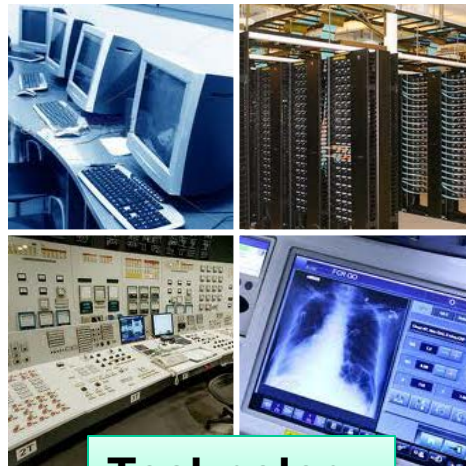


People

Information

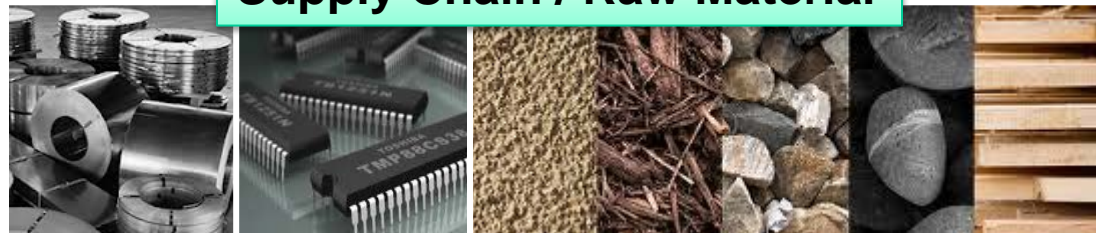


Facilities

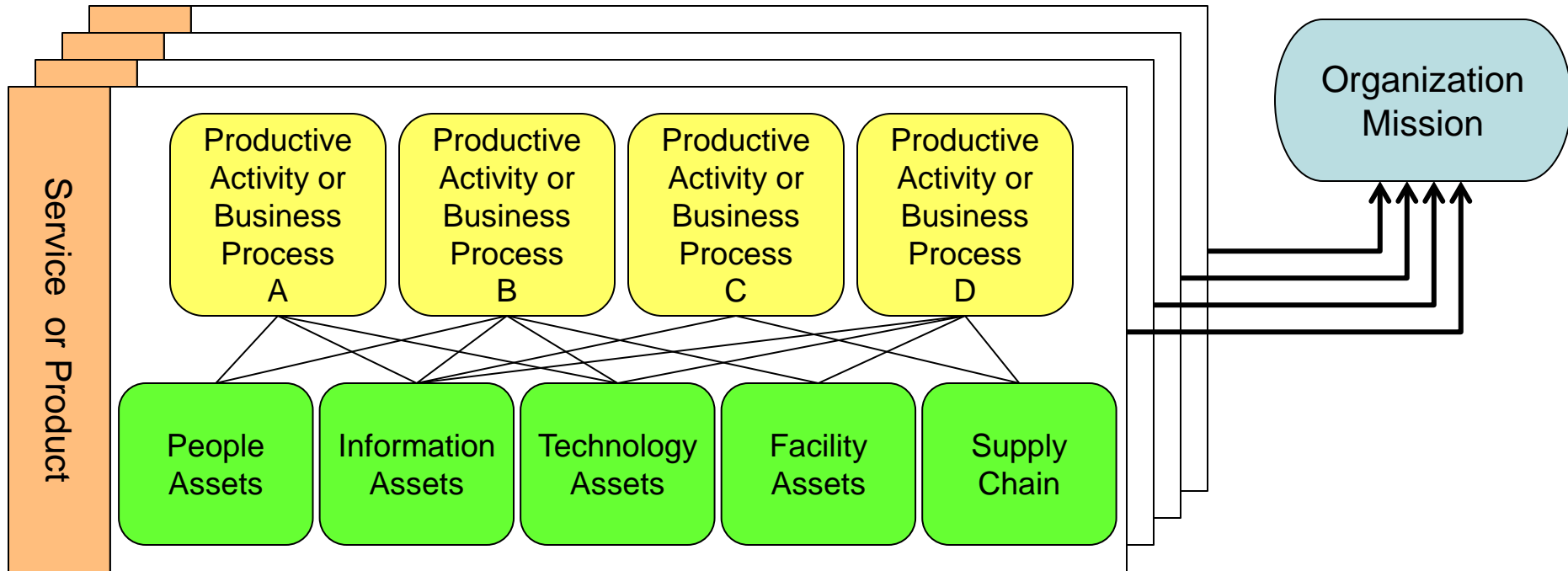


Technology

Supply Chain / Raw Material



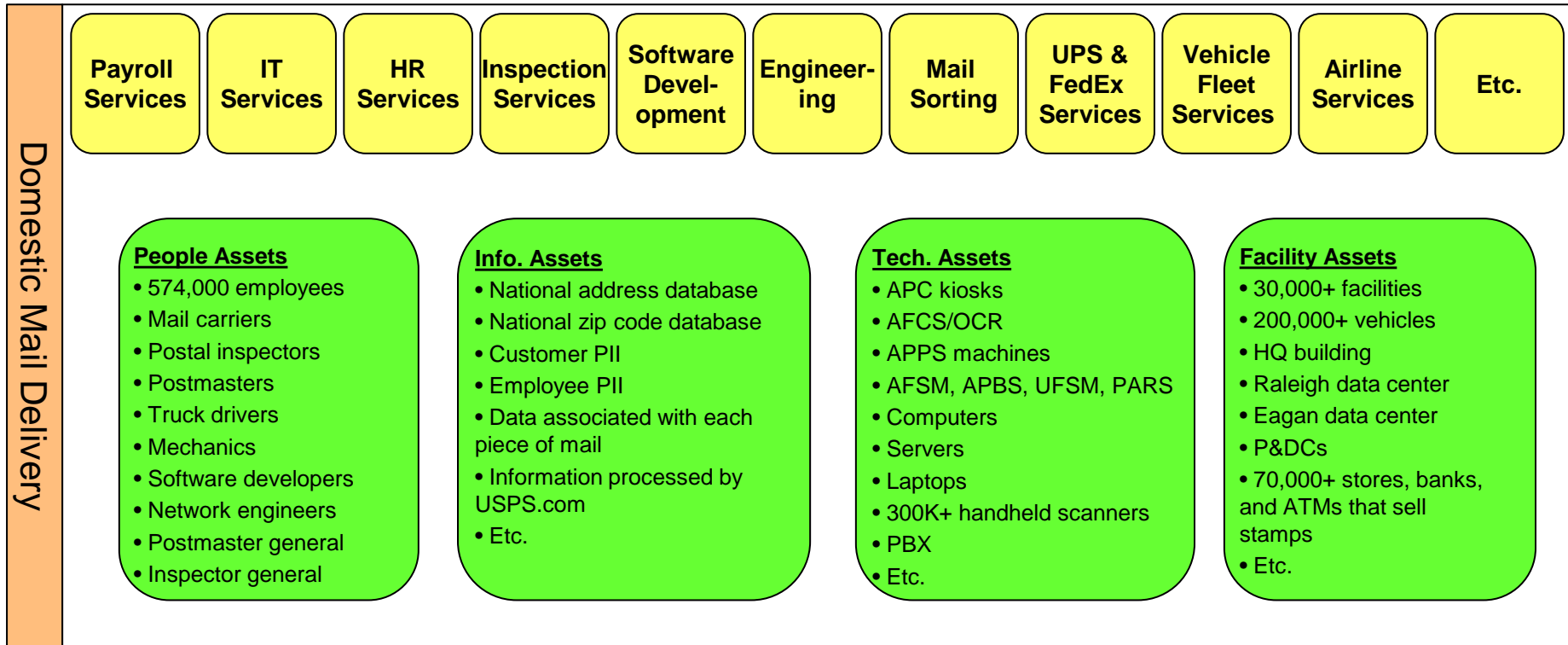
Asset Types



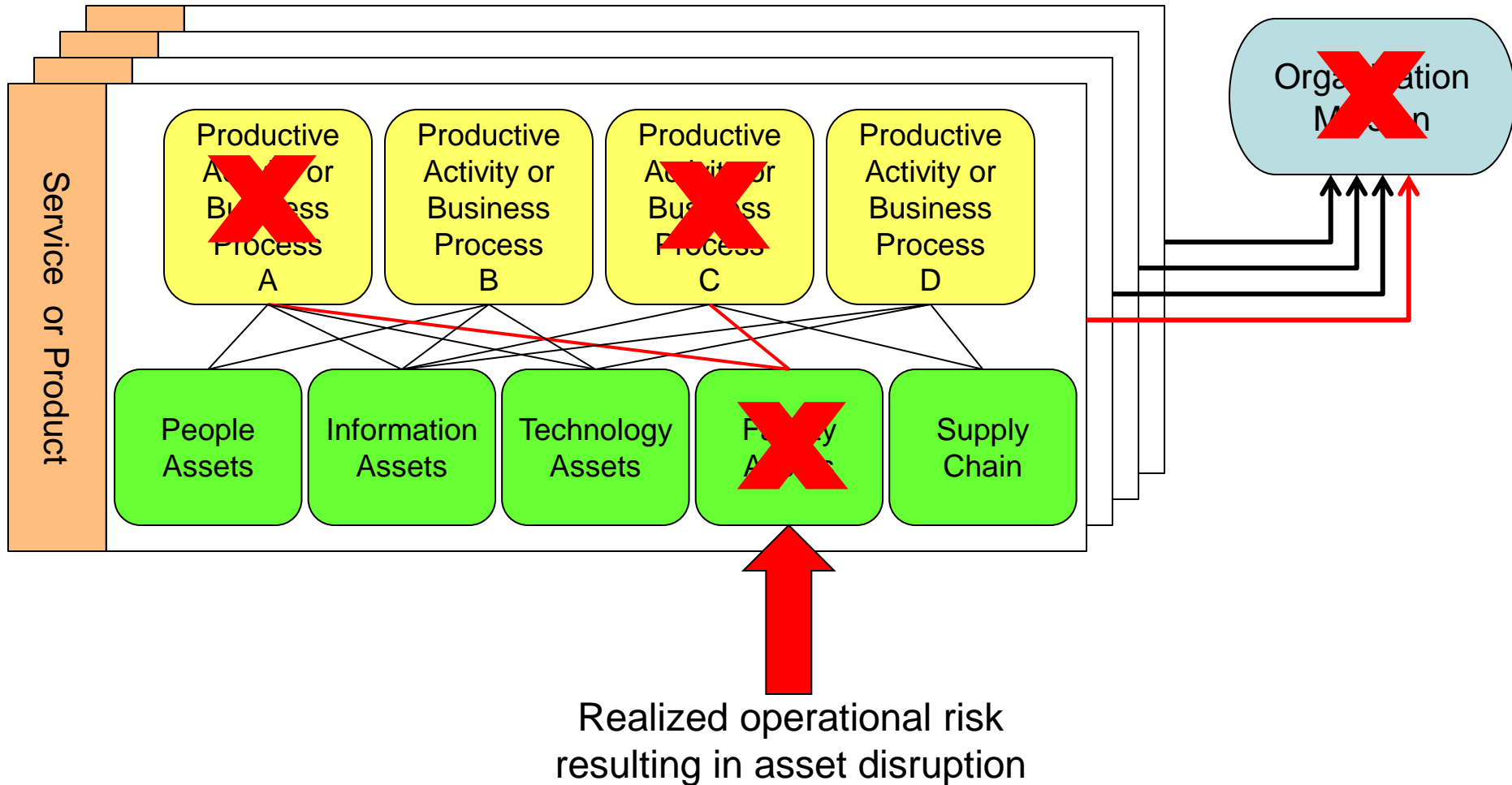
Something of value to the organization

Asset value relates to the importance of the asset in meeting the service mission.

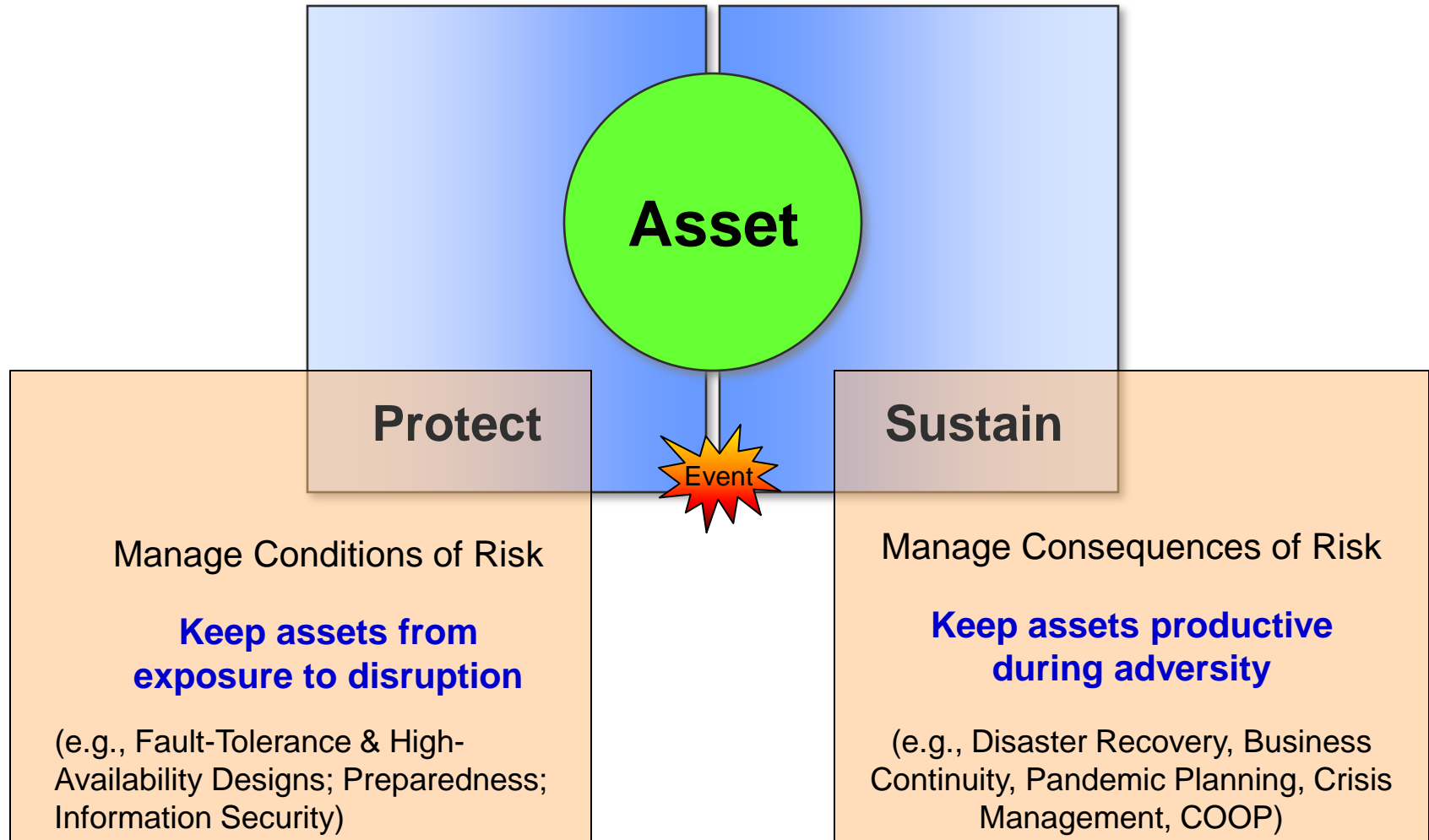
Example: U.S. Postal Service



Operational Resilience Starts at Asset Level



Operational Resilience Starts at Asset Level



Analogy - Protection and Sustainment Strategies

Protection Activities

- Translate into activities designed to keep assets from exposure to disruption
- Example: “security” activities, but may also be embedded in IT operations activities

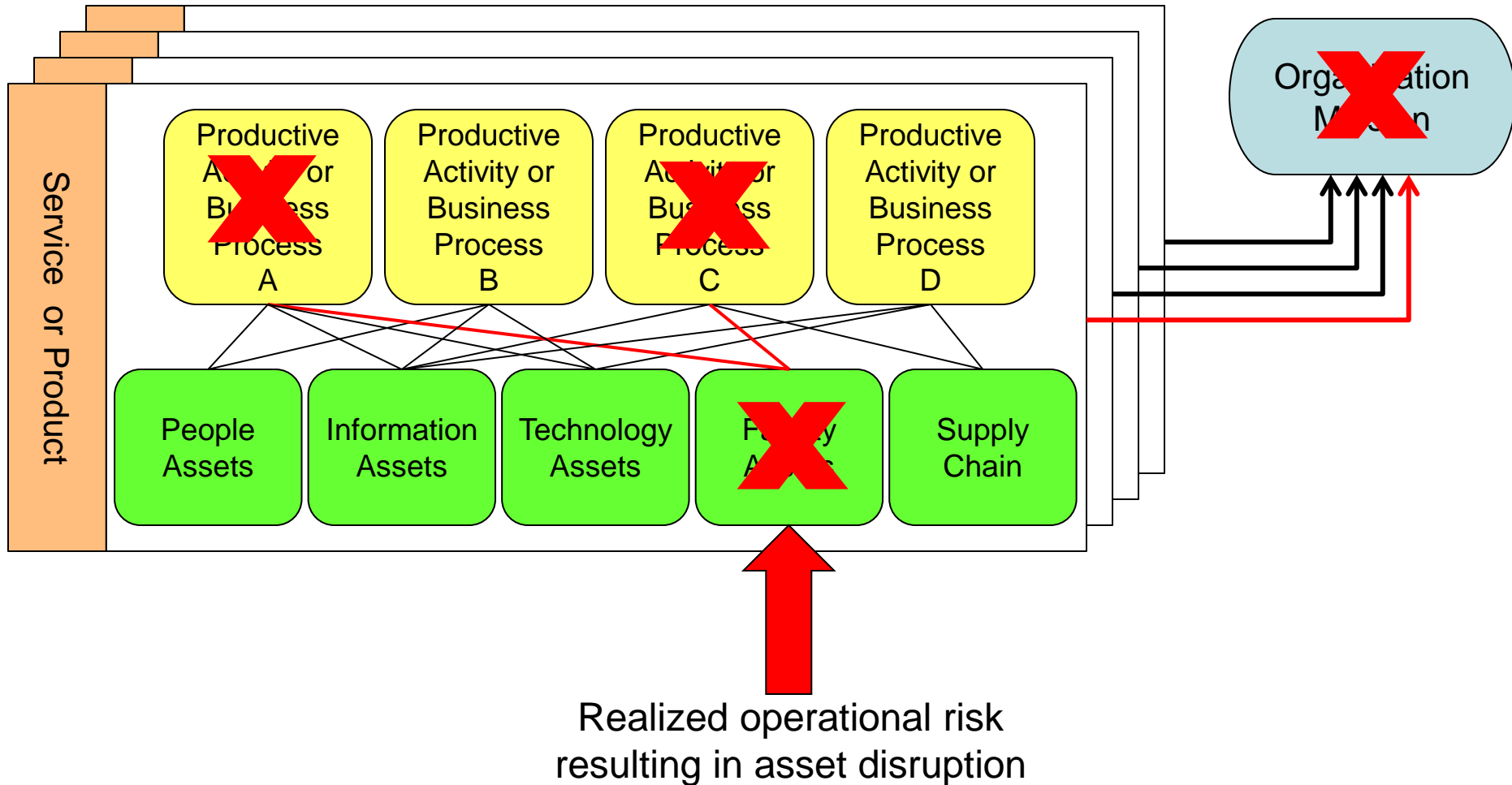


Sustainability Activities

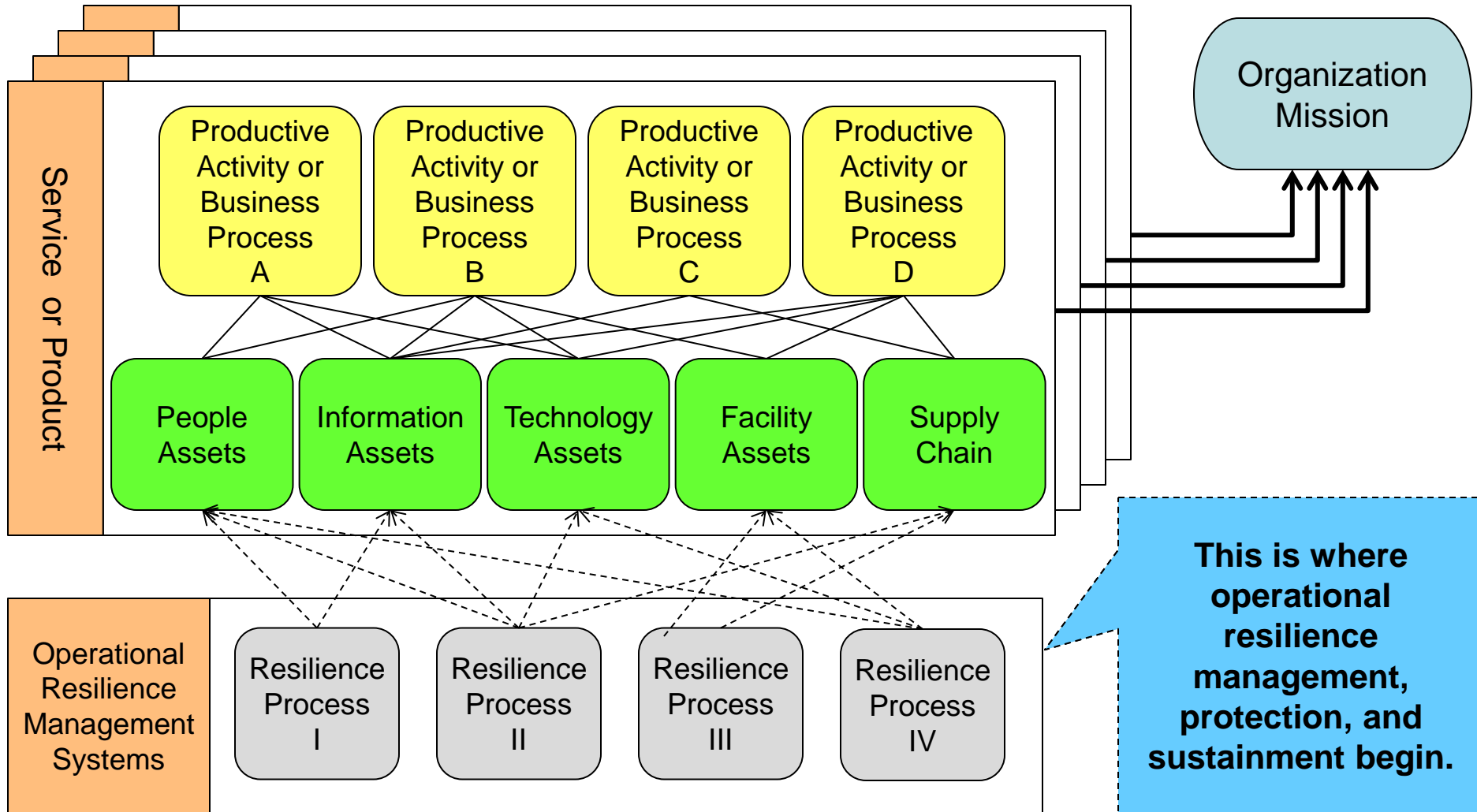
- Translate into activities designed to keep assets productive during adversity
- Example: “business continuity” activities



Asset Disruption



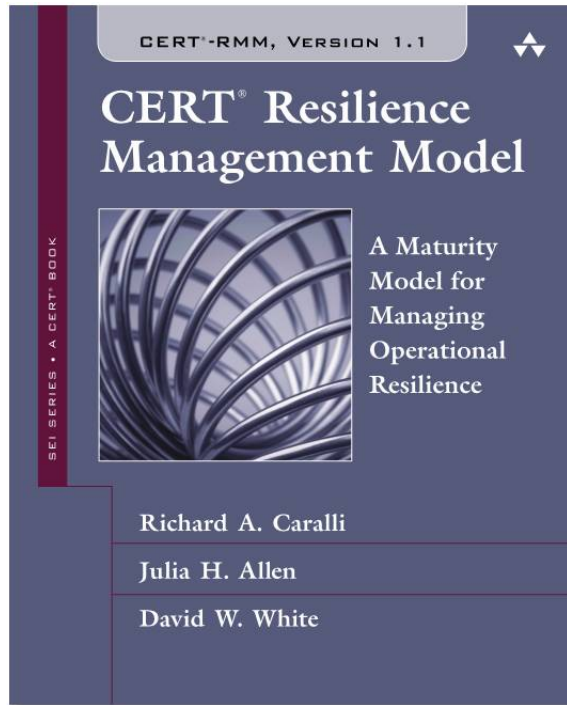
Organizational Context for Resilience Activities



Is there one place that I can go to see
what are all the right things that an
organization should do in order to
improve and manage its operational
resilience in a systematic, practical,
and proven manner?



CERT Resilience Management Model (CERT-RMM)



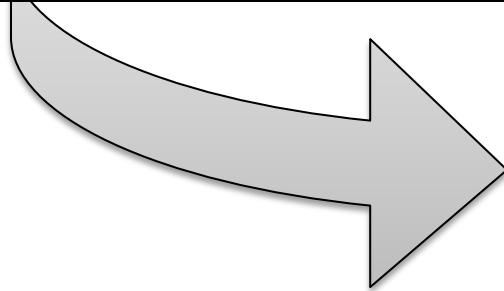
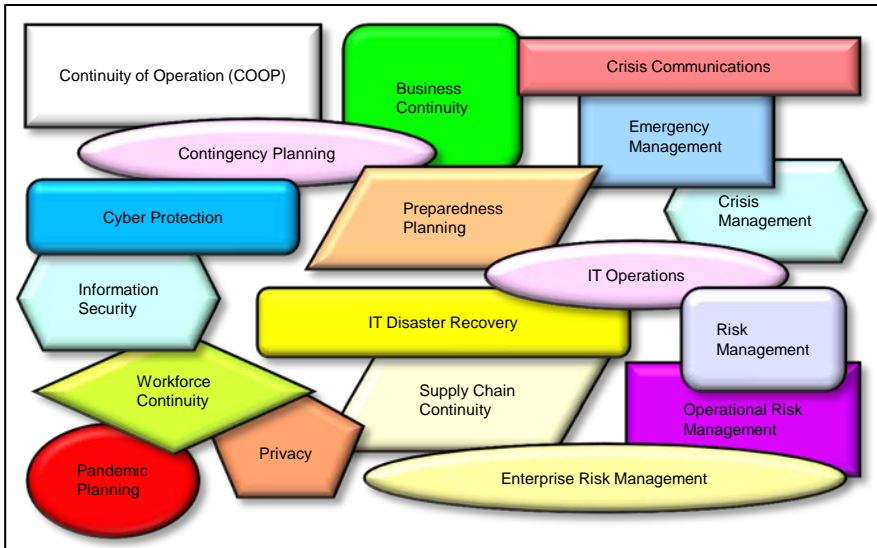
Framework for managing and improving operational resilience

“...an extensive super-set of the things an organization could do to be more resilient.”

—CERT-RMM adopter

<http://www.cert.org/resilience/>

Desired Integrated Approach



Pull for Integrated Cyber Resilience

Research on new approaches to achieving security and resiliency in information and communications infrastructures is insufficient. The government needs to increase investment in research that will help address cybersecurity vulnerabilities while also meeting our economic needs and national security requirements.

CYBERSPACE POLICY REVIEW

Assuring a Trusted and Resilient Information and Communications Infrastructure

Federal Computer WEEK

Strategy and business management for government leaders

Updated homeland security strategy
emphasizes resilience

Blueprint for a Secure Cyber Future

The Blueprint lists four goals for protecting critical information infrastructure:

- Reduce Exposure to Cyber Risk
- Ensure Priority Response and Recovery
- Maintain Shared Situational Awareness
- Increase Resilience

Department of Defense S&T Emphasis Areas



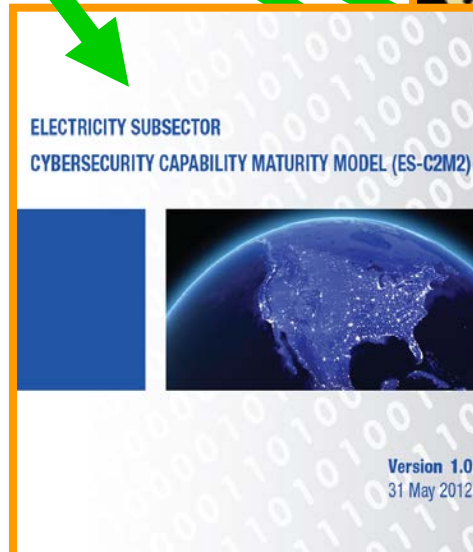
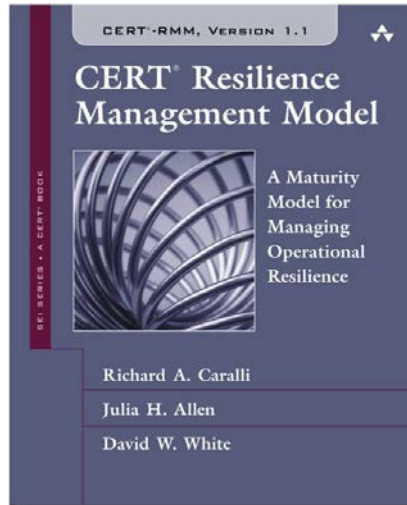
The Department has identified seven priorities:

- Defense Strategic Guidance
- Autonomy
- Counter Weapons of Mass Destruction
- Cyber Sciences
- Data-to-Decisions
- Electronic Warfare
- Engineered Resilient Systems
- Human Systems



Success Stories

A Sampling of CERT-RMM Applications and Derivatives



Department of Homeland Security



Homeland
Security

Cyber Resilience Review



The Cyber Security Evaluation Program (CSEP), within the Department of Homeland Security's (DHS) National Cyber Security Division (NCS), conducts a no-cost, voluntary Cyber Resilience Review (CRR) to evaluate and enhance cyber security capacities and capabilities within all 18 Critical Infrastructure and Key Resources (CIKR) Sectors, as well as State, Local, Tribal, and Territorial (SLTT) governments. The CRR seeks to understand cyber security management of services (and associated assets) critical for an organization's mission success by focusing on protection and sustainment practices within ten key domains that contribute to the overall cyber resilience of an organization.

Overview

The CRR is based on the CERT Resilience Management Model (CERT-RMM) developed by Carnegie Mellon University's Software Engineering Institute [www.cert.org/resilience/rmm.html]. The goal of the CRR is to develop an understanding of an organization's operational resilience and ability to manage cyber risk to its critical services and assets during normal operations and during times of operational stress and crises.

The CRR seeks to elicit the current state of cyber security management practices from key cyber security personnel—Chief Information Officers, Chief Information Security Officers, and those responsible for management of IT Security, IT Operations, and Business Continuity.

The CRR results in a report that summarizes observed strengths and weaknesses in each domain and provides options for consideration containing general guidance or activities aimed at improving the cyber security posture and preparedness of an organization.

CRR Domains & Asset Types

The CRR focuses on the following ten domains:

1. Asset Management
2. Configuration and Change Management
3. Risk Management
4. Controls Management
5. Vulnerability Management
6. Incident Management
7. Service Continuity Management
8. External Dependencies Management
9. Training and Awareness
10. Situational Awareness

The CRR addresses the following four asset types:

1. People
2. Information
3. Technology
4. Facilities

What to Expect

- The CRR is a one-day, on-site facilitation and interview of key cyber security personnel.
- The participants will receive a draft report within 45 calendar days to review and provide feedback report results. DHS will subsequently issue a final CRR Report.
- CRR results are afforded protections under the DHS Protected Critical Infrastructure Information (PCII) Program [www.dhs.gov/PCII]—the results are for organization use and DHS does not share results.

Contact Information for CRR-related Inquiries

Please address inquiries regarding the CRR to:
CSE@hq.dhs.gov (Cyber Security Evaluations).

About DHS and NCS

DHS is responsible for safeguarding our Nation's critical infrastructure from physical and cyber threats that can affect national security, public safety, and economic prosperity. NCS leads DHS's efforts to secure cyberspace and cyber infrastructure. For additional information, please visit www.dhs.gov/cyber.

ES-C2M2

ELECTRICITY SUBSECTOR CYBERSECURITY CAPABILITY MATURITY MODEL (ES-C2M2)



Version 1.0
31 May 2012

U.S. Postal Inspection Service (USPIS)

The law enforcement arm of the U.S. Postal Service

The USPIS has used CERT-RMM to address such operational risks as

- export screening
- new product security
- measuring and monitoring risks associated with fraud
- physical security and aviation screening for international mail
- improved processes for investigative response to network security incidents



Lockheed Martin



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Application of the CERT® Resilience Management Model at Lockheed Martin

Lockheed Martin Corporation has collaborated with the Software Engineering Institute on the application of the CERT Resilience Management Model (CERT-RMM) to improve Lockheed Martin's corporate-wide business continuity, IT disaster recovery, crisis management, and pandemic planning activities. Two CERT-RMM Class C appraisals have been conducted as part of the collaboration. This presentation will provide an overview of the project, information about the appraisals, and a summary of the use of the appraisal results.



In Closing

Hurricane Sandy Surprised Us in Many Ways



Most Talked-About Subject Afterward...

Cornell University

CHRONICLEONLINE


March 4, 2013

Arctic ice loss amplified Superstorm Sandy violence

By Blaine Friedlander

If you believe that last October's Superstorm Sandy was a freak of nature -- the confluence of unusual meteorological, atmospheric and celestial events -- think again.

Cornell and Rutgers researchers report in the March issue of



Bloomberg Businessweek
Politics & Policy

Global Economics Companies & Industries Politics & Policy Technology Markets & Finance Innovation & Design Life

It's Global Warming, Stupid

By Paul M. Barrett on November 01, 2012

1282 Comments

Yes, yes, it's unsophisticated to blame any given storm on climate change. Men and women in white lab coats tell us—and they're right—that many factors contribute to each severe weather episode. Climate deniers exploit scientific anxiety to avoid discussion at the

CLIMATEPROGRESS

How Does Climate Change Make Superstorms Like Sandy More Destructive?

By Joe Romm on Oct 31, 2012 at 5:03 pm

Hurricane Sandy Damage Partly Caused By Climate Change, Scientists Say

Posted: 11/06/2012 10:06 am EST Updated: 11/06/2012 10:06 am EST

The New York Times
Tuesday, March 19, 2013

Environment

WORLD U.S. N.Y. / REGION BUSINESS TECHNOLOGY SCIENCE HEALTH SP

A Blog About Energy and the Environment

SCIENCE | October 30, 2012, 5:46 pm | 178 Comments

Did Global Warming Contribute to Hurricane Sandy's Devastation?

By JUSTIN GILLIS

Most Talked-About Subject Afterward...

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Hurricane Sandy Damage Partly Caused By Climate Change, Scientists Say

Posted: 11/06/2012 10:06 am EST Updated: 11/06/2012 10:06 am EST

Is this the most important question to ask?

A better question to ask: *How has the national risk environment changed?*

Movement from traditional wireline telephony to cell phones and broadband cable telephony

Cutting The Lifeline

The percentage of cellphone-only households is growing



Source: CDC/NCHS surveys of 136,228 households conducted Jan. 2008-Dec 2011; 95% confidence interval
The Wall Street Journal



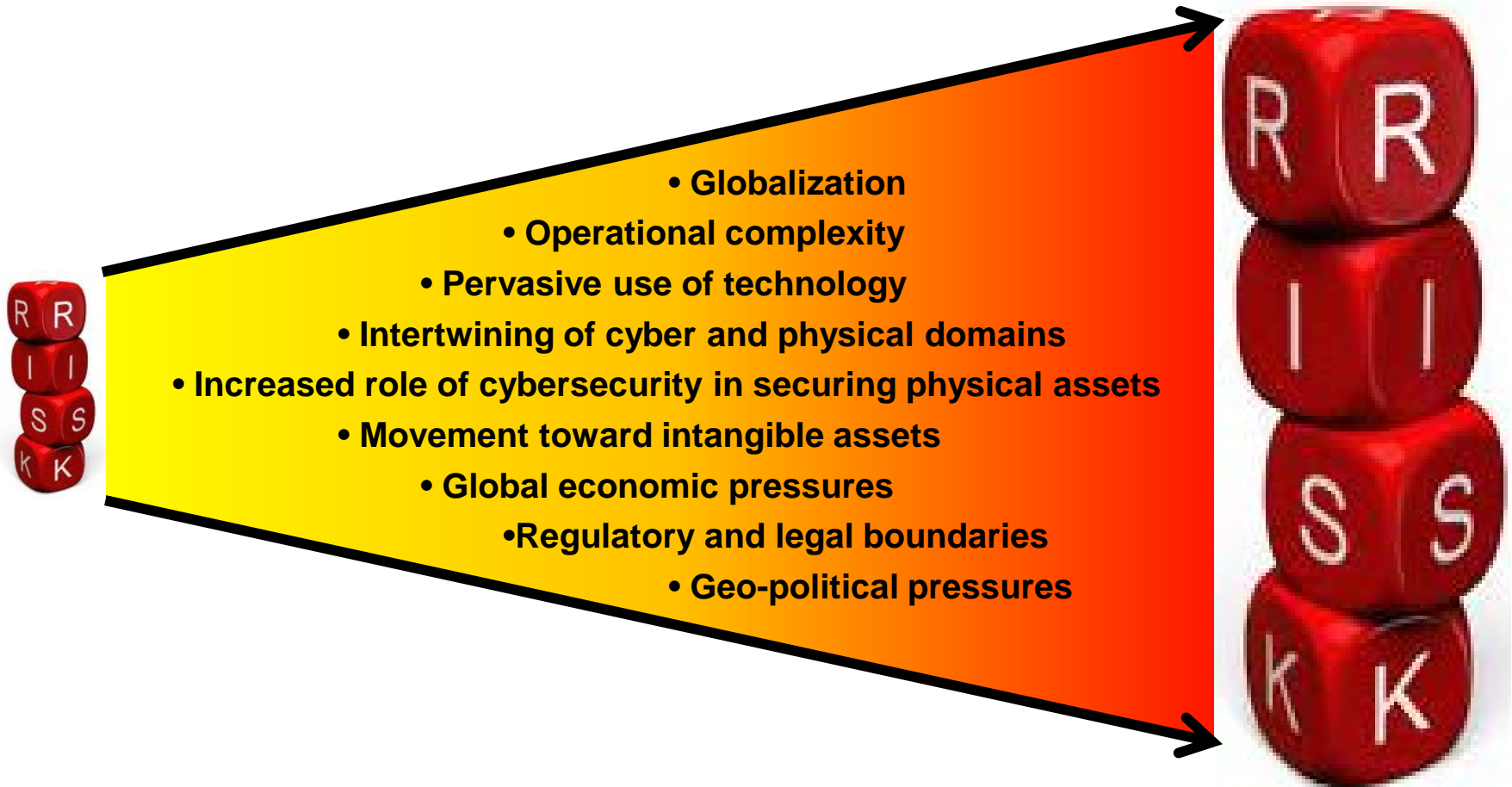
Dependency on large number of mobile devices needing frequent recharging

“... As of 2003, 153 million Americans lived in coastal counties – an increase of 33 million since 1980 – and 3.7 million lived within a few feet of high tide...”

—Bryan Walsh, *Time Magazine*, November 12, 2012

... and there are many more.

Expansion of National Risk Environment



Successful management of operational risk may require a (significant) shift in thinking and approach.

*Protecting the enterprise
remains a complex and
multifaceted challenge.*

***Disruptive events, through
which risks are realized, will
continue to surprise us.***

*Traditional tools, techniques,
and methods may not work as
well in this environment.*

*How should an enterprise
deal with (and plan for) such
surprises?*

*How should an enterprise
operate in such an
environment?*



Promising Approaches

Next generation of integrated cyber-resilience management frameworks?

MODELS

**Resilience Engineering –
A new engineering discipline?**

EDUCATION

Re-shaping (not fighting with) the risk landscape?

RISK MGMT

Should organizations be legally allowed to fight back when under cyber attack?

POLICY

Mechanisms to compose resilient systems from brittle components?

TECHNOLOGY

“The oak fought the wind and was broken,
the willow bent when it must and survived.”

Robert Jordan, The Fires of Heaven

Thank you for your attention...

